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Original paper

The ^{68}Ge phantom-based FDG-PET site qualification program for clinical trials adopted by FIL (Italian Foundation on Lymphoma)

Stephane Chauvie^{a,*}, Fabrizio Bergesio^a, Federica Fioroni^b, Marco Brambilla^c, Alberto Biggi^a, Annibale Versari^b, Luca Guerra^d, Giovanni Storto^e, Pellegrino Musto^e, Stefano Luminari^f, Maria G. Cabras^g, Monica Balzarotti^h, Luigi Rigacciⁱ, Maurizio Martelli^j, Umberto Vitolo^k, Massimo Federico^f, Andrea Gallamini^l

^a Santa Croce e Carle Hospital, Cuneo, Italy^b Arcispedale Santa Maria Nuova-IRCCS, Reggio Emilia, Italy^c University Hospital Maggiore della Carità, Novara, Italy^d San Gerardo Hospital, Monza, Italy^e IRCCS-CROB, Referral Cancer Center of Basilicata, Rionero in Vulture (Pz), Italy^f Department of Diagnostic, Clinical and Public Health Medicine, University of Modena and Reggio Emilia, Modena, Italy^g Businco Hospital, Cagliari, Italy^h Humanitas Cancer Centre, Rozzano, Italyⁱ AOU Careggi Hematology Department, Firenze, Italy^j University "Sapienza" Roma, Department of Cellular Biotechnologies and Hematology, Italy^k Città della Salute Hospital, Torino, Italy^l A. Lacassagne Cancer Center, Nice, France

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ABSTRACT

Purpose: The quantitative assessment of Positron Emission Tomography (PET) scans using standardized uptake value and derived parameters proved to be superior to traditional qualitative assessment in several retrospective or mono-centric prospective reports. Since different scanners give different quantitative readings, a program for clinical trial qualification (CTQ) is mandatory to guarantee a reliable and reproducible use of quantitative PET in prospective multi-centre clinical trials and in every-day clinical life.

Methods: We set up, under the auspices of Italian Foundation on Lymphoma (FIL), a CTQ program consisting of the PET/CT scan acquisition and analysis of ^{18}F and ^{68}Ge NEMA/IEC image quality phantoms for the reduction of inter-scanner variability. Variability was estimated on background activity concentration (BAC) and sphere to background ratio (SBR).

Results: The use of a ^{68}Ge phantom allowed reducing the inter-scanner variability among different scanners from 74.0% to 20.5% in BAC and from 63.3% to 17.4% in SBR compared to using the ^{18}F phantom. The CTQ criteria were fulfilled at first round in 100% and 28% of PET scanners with ^{68}Ge and ^{18}F respectively.

Conclusions: The ^{68}Ge phantom proved a reliable tool for PET scanner qualification, able to significantly reduce the potential sources of error while increasing the reproducibility of PET derived quantitative parameter measurement.

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1. Introduction

Positron Emission Tomography (PET), combined with Computed Tomography (PET/CT), measures the changes in concentrations of tracer uptake in diseased tissue [1]. PET/CT-based evaluation of response to cancer treatment has proved a reliable

outcome predictor in several tumours [2–4]. Quantitative metrics for PET/CT scan (Q-PET) interpretation by Standardized Uptake Value (SUV) have been recently shown to improve the prognostic role of PET both at baseline and during treatment [3,5], but only few prospective clinical trials are underway using these metrics for PET/CT scan interpretation [6]. Besides more sophisticated approaches [7] and taking in account its intrinsic limitations [8], SUV is being universally used as a measure of tumour viability by itself or mixed up with more complex indexes [9]. However, typical SUV variability of 40–90% in SUV measurements were

* Corresponding author at: Medical Physics Unit, Santa Croce e Carle Hospital, via Coppino 26 12100, Italy.

E-mail address: chauvie.s@ospedale.cuneo.it (S. Chauvie).

observed [10,11], and only major changes in SUV in response to treatment (≥ 30 –40%) could be detected [12].

Despite the publication of guidelines for tumour PET/CT imaging [13,14], the lack of standardization [15,16] has hampered in the past the use of SUV as a biomarker in clinical trials. Now, thanks to a better knowledge of the factors affecting SUV measurements [17], guidelines for patient scanning and PET/CT image acquisition [18] are recommended to improve data quality and reproducibility [8,18].

Nonetheless, an inter-scanner variability of SUV measurement up to 100% in a non-harmonized environment [19] and of 25% in a multicenter clinical trial [20], just as consequence of the intrinsic variability of the instrument, is still observed. Hence, a thorough cross-calibration of PET/CT scanners and ancillary instrumentation is the first condition to achieve an accuracy in tracer uptake measurement below 10% [20–27].

Several programs for the cross-calibration of PET scanners have been carried out in the recent years to reduce the variability among PET/CT scanners using ^{18}F phantoms: the European Association of Nuclear Medicine (EANM) accreditation program for site of excellence carried out by EARL Ltd (Wien, Austria) [21,22], the UK PET Clinical Trial Network (CTN) [23], the American College of Radiology Imaging Network (ACRIN) [24], the CTN of Society of Nuclear Medicine and Molecular Imaging (SNMMI) [25,26] and the JSCT NHL10 trial [27] in Japan.

The idea to measure the instrumentation factors affecting variance and bias of quantifying tracer uptake using a long half-life isotope phantom has been recently addressed in several publications. Fahey et al. [19] used the standard American College of Radiology (ACR) phantom with the four smaller cylinders filled with a ^{68}Ge epoxy matrix in a ^{18}F -filled background and achieve a coefficient of variation among PET/CT scanners of 9.9–11.3%. Doot et al. [28] used a modified NEMA/IEC Image Quality (IQ) phantom, without lung insert, in which both the six spheres and the background were filled with a ^{68}Ge epoxy matrix and achieved a coefficient of variation among PET/CT scanners of 2.5–9.8%.

Moving from a previous experience in a PET/CT imaging-based multicenter clinical trial [29] conducted on behalf of FIL (Italian Lymphoma Foundation), since 2010 onward we designed and adopted a framework for the PET/CT-based clinical trials, based on three main assumptions. The first is a central PET/CT scan review by a panel of Nuclear Medicine experts to reduce the image interpretation variability [29–32], the second is the use of a standard protocol, shared among PET sites for patient preparation and PET/CT acquisition according to European Association of Nuclear Medicine (EANM) guidelines [14] and, the third, a program for Clinical Trial Qualification (CTQ) of PET/CT scanners. We report here the results of a study conducted on behalf of FIL aimed to compare the results of an innovative method for PET sites CTQ with a ^{68}Ge pre-filled phantom with that obtained with traditional ^{18}F phantom prepared in the PET/CT sites.

2. Material and methods

CTQ was performed first with a ^{18}F phantom on 83 scanners and with a ^{68}Ge pre-filled phantom on 17 scanners.

2.1. Core lab activities with ^{18}F phantoms

All the PET/CT sites participating in PET/CT-based clinical trials conducted on behalf of FIL had to undergo the CTQ coordinated by a central imaging core lab in collaboration with the Italian Associations of Nuclear Medicine (AIMN) and Medical Physics (AIFM). The central core lab was located at the medical physics department of Santa Croce Hospital in Cuneo, where all the CTQ

procedures were reviewed. The CTQ required the scanning of two phantoms:

- 1) Uniformity phantom: a difference between calculated and measured Background Activity Concentration (BAC) lower than 10% was required to assure the correct calibration of the PET/CT scanner in the uniform area of the cylindrical phantom;
- 2) NEMA/IEC Image Quality (IQ) phantom: a smooth and regular recovery coefficient (RC) curve within the limit presented in the EANM Guidelines [14] was required to assure a good image quality on the six spheres filled with a nominal SBR of 4.

Local personnel scanned the phantoms with the protocol for acquisition and reconstruction used for routine patient imaging. All DICOM images were uploaded to the WIDEN[®] (Dixit, Torino, Italy) core lab WEB portal [29].

2.2. Core lab activities with ^{68}Ge phantoms

The NEMA/IEC IQ phantom (manufactured by Data Spectrum, Durham, NC) without the “lung” cylinder (see Fig. 1) was filled with ^{68}Ge in an epoxy matrix (Eckert & Ziegler, Valencia, California). The activity concentrations of the radioactive epoxy added inside each sphere and in the phantom were measured with a radionuclide calibrator tested against a NIST traceable source and were respectively 40.67 kBq/ml and 10.58 kBq/ml at reference time with an uncertainty of $\pm 3\%$. Nominal Sphere to Background Ratio (SBR) was 3.84. Total activity in the phantom was 108.4 MBq. The ^{68}Ge phantom was imaged with a high resolution CT to demonstrate the absence of air gaps, as previously described [28]. It was then shipped via an authorized courier (Campoverde, Milano, Italy) to the PET/CT sites.

2.3. Measurements and data analysis

BAC, measured in kBq/ml, was defined as the average on six 37 mm diameter circular Regions of Interest (ROI) placed in the uniform area of uniformity and IQ phantoms, far away, at least 2 cm, from the spheres and the phantom's edge. SBR was defined as the ratio between maximum activity concentration of the larger sphere and the BAC. RC was calculated as the ratio between measured maximum and actual activity concentration in each sphere. RC curves were obtained plotting the single RC values as a function of the sphere diameter. The inter-scanner variability (ISV) was defined as the 95% confidence interval (CI) of BAC and SBR. Student's *t*-test was used to compare paired samples. The measurements performed at different time points were scaled to the activity at the reference time, accounting for ^{68}Ge decays. ^{18}F and ^{68}Ge have a half-life of approximately 110 min and 271 days.

3. Results

3.1. Core lab activities with ^{18}F phantoms

Seventy-four sites equipped with 83 PET/CT scanners participated in the ^{18}F phantom CTQ program. Sixty-three out of 83 (76%) scanners fulfilled the CTQ requirements, 14 (17%) did not because of a lack of phantoms or trained personnel, while CTQ is still ongoing on 6 (7%) scanners. For qualified scanners the CTQ was reached at the first round in 28% of the cases, while in 18%, 17% and 13%, two, three or more than three iterations, were required, respectively. Iteration was defined as a dialogue/discussion between a PET/CT site and the core lab requiring new measurements or the re-acquisition of the phantom. The iterations

were due to several reasons, the more frequent were: the NEMA/IEC IQ and uniformity phantoms were not available at the PET/CT site, the personnel performing the acquisition was not available or not experienced in performing PET/CT quality control requiring training from corelab to accomplish the task, the image data were erroneously uploaded and/or the data were missing or incorrect, the activity used to prepare the phantom was missing or incorrect, the scanners need to be re-calibrated, and the standard source for dose calibrators checking was absent.

For the PET/CT scanners fulfilling the CTQ the difference (mean \pm standard deviation) between measured and expected BAC in the uniformity phantom was $-1.1 \pm 4.1\%$ (CI 95%: $-9.1\% + 6.9\%$, ISV = 16.1%). The difference between measured and expected BAC in the IQ phantom was $5.6 \pm 20.9\%$ (CI 95%: $-35.4\% + 46.6\%$, ISV = 81.9%). The difference between measured versus expected SBR in the IQ phantom was $6.2 \pm 12.0\%$ (CI 95%: $-17.3\% + 29.7\%$, ISV = 47.0). In Fig. 3 the curves for average RC of all the PET/CT scanners are shown.

3.2. Core lab activities with ^{68}Ge phantom

Both phantoms underwent 1-h acquisition for ten times on the same scanner at the core lab to ensure that they provide similar quantitative results under controlled conditions of acquisition and reconstruction. The preparation time for ^{18}F phantom was 108 ± 23 min. No preparation is needed for the ^{68}Ge phantom. Differences in average BAC and SBR in the two phantoms were $0.5 \pm 0.8\%$ ($p = 0.66$) and $3.7 \pm 0.8\%$ ($p = 0.49$). No statistically significant differences were appreciable in RC curves as well as seen in Fig. 2. Variability in 10 different acquisitions was 24.6% and 3.3% for BAC and was 30.3% and 9.0% for SBR, for ^{18}F and ^{68}Ge phantoms respectively.

The ^{68}Ge phantom was then circulated across 17 scanners. Differences between measured and expected BAC and SBR were $-2.8 \pm 5.0\%$ (CI 95%: $-13.4\% + 7.8\%$; ISV = 21.2%) and $5.0 \pm 3.9\%$ (CI 95%: $-3.3\% + 13.3\%$; ISV = 16.5%), respectively. Three out of 17 scanners were re-calibrated after iteration with core lab because difference between expected and measured BAC was higher than what previewed by the CTQ, which is 10%. The box and whiskers plot of the percentage difference between expected and measured values of the BAC and SBR obtained with IQ ^{18}F and ^{68}Ge phantoms is shown in Fig. 4.

In 11 PET/CT scanners it was possible to compare the results obtained with the ^{18}F and ^{68}Ge IQ phantoms: the differences between expected and measured BAC were significantly reduced when using the ^{68}Ge phantom: $-2.6 \pm 4.6\%$ (CI 95%: $-12.8\% + 7.6\%$; ISV = 20.5%) in comparison to the same differences obtained with the ^{18}F phantom $-7.8 \pm 16.6\%$ (CI 95%: $-44.829.2\%$; ISV = 74.0%) ($p = 0.29$). Similarly, the differences between expected and measured SBR were significantly reduced when using the ^{68}Ge phantom $5.7 \pm 3.9\%$ (CI 95%: $-3.0\% + 14.4\%$; ISV = 17.4%) in comparison to the same differences obtained with the ^{18}F phantom:

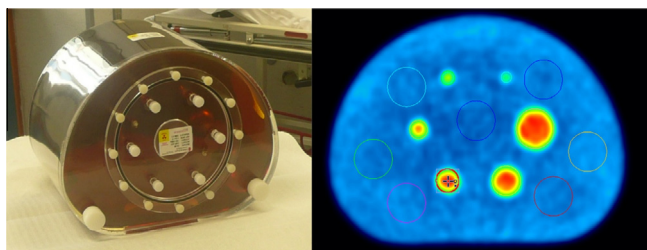


Figure 1. The ^{68}Ge phantom used in this investigation (left) and a PET transaxial image.

$13.0 \pm 14.2\%$ (CI 95%: $-18.6\% + 44.6\%$; ISV = 63.3) ($p = 0.52$). The differences between expected and measured BAC in the uniform phantom used for CTQ were $-0.68 \pm 3.07\%$ (CI 95%: $-7.5\% + 6.2\%$; ISV = 13.7%) for the ^{18}F phantom. The box and whiskers plot of the percentage difference between expected and measured values of the BAC and SBR obtained with IQ ^{18}F and ^{68}Ge phantoms in these 11 PET/CT scanners is shown in Fig. 5.

4. Discussion

Q-PET is increasingly used in Oncology: with a number of peer-reviewed publication peaking 28,000 in 2012 [33]. Q-PET, based on SUV measurement, allows the proposal of new SUV-derived metrics for tumour burden assessment, not only in lymphoma [34], but also in a number of solid tumours [35], such as lung cancer [36], oesophageal cancer [37], head and neck squamous carcinoma [38], breast cancer [39] and rectal cancer [40]. The proposed indexes for quantitative measurement of viable tumour bulk (Metabolic Tumour Volume, MTV) and active glycolytic tissue (Total Lesion Glycolysis, TLG) prompted clinicians with reproducible and reliable tools for tumour prognostication at baseline in Hodgkin lymphoma [41] and in diffuse large B-cell lymphoma [42], albeit with conflicting results [43]. Quantitative evaluation proved superior to qualitative criteria for early tumour response assessment in diffuse large B-cell lymphoma [44]. These data, however, are of limited value since they were generated in single-centre prospective or multicentre retrospective trials. Not surprisingly, published results of prospective multicentre clinical trials based on Q-PET are still lacking [34], as CTQ procedures are complex and time-consuming. Several factors are known to be responsible for the high variation in Q-PET among PET/CT sites [22], and only a thorough and reproducible CTQ could reduce and quantify this systematic bias [14].

The EANM program for site of excellence requires that the local personnel of the PET/CT sites scans the ^{18}F uniform and IQ phantoms and send the images to the EARL Ltd core lab, which verifies the calibration accuracy and analyses the RC curve results. A $\pm 10\%$ difference in the BAC and RC curves standing between the minimum and maximum RC [14] was reached.

The UK program [23], ran among 15 PET/CT sites, requires that the same medical physicist from the corelab scans a ^{18}F IQ phantom, and verifies the calibration accuracy and analyses the RC curve. $\pm 10\%$ difference in BAC and ± 0.25 SUV variation in RC was reached.

The results obtained in these CTQ procedures are equivalent to ours: the difference between expected and measured BAC was lower than $\pm 10\%$ (range -6.9 to $+9.1\%$) and ISV was 16.1%. But when BAC was measured in the NEMA IQ phantom, which was not used for qualification, we observed a much higher variability, with ISV = 81.9%. RC curves were between the minimum and maximum RC limits [14] as seen in Fig. 3. The difference between expected and measured SBR was $6.2 \pm 12.0\%$. The relatively high variability of SBR, ISV = 47.0, was accounted by the high probability of error in the filling procedures and the objective difficulty in phantom preparation. In particular the highest variability was found in small-sized spheres.

The low PET/CT site compliance of the ^{18}F phantom-based CTQ program encouraged us to adopt the ^{68}Ge phantom approach, so as to simplify the process and further reduce the inter-scanner variability. The idea to measure the instrumentation factors using a long half-life isotope phantom has been recently addressed in several publications [19,28]. The variability of 10% is the minimum achievable with the standard approach, while at least 5% should be a requirement for using PET/CT in a quantitative way [45], as we are planning in the FIL clinical trials. An optimal inter-scanner

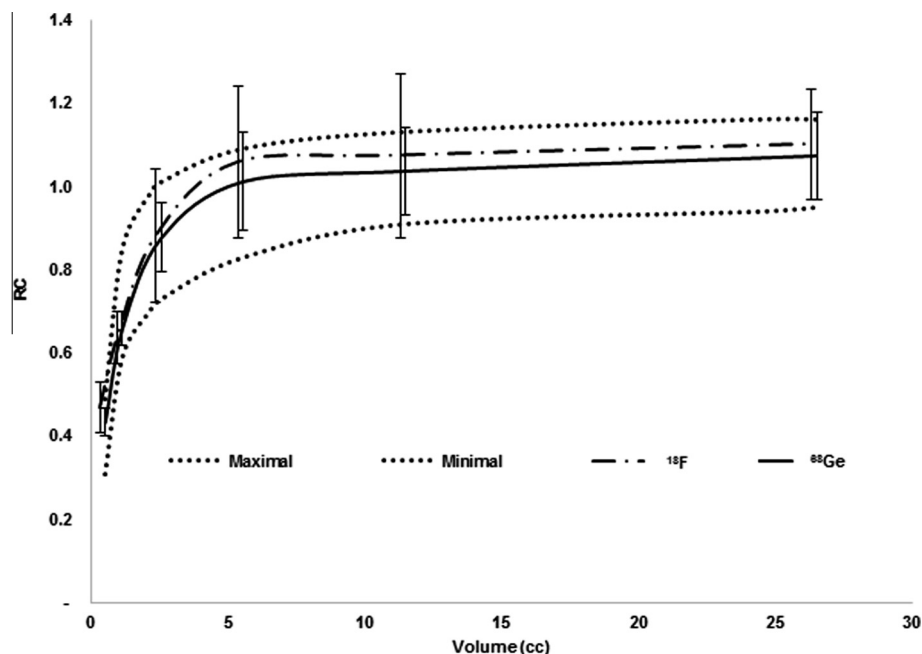


Figure 2. RC curves of ^{18}F and ^{68}Ge IQ phantom. Error bars are one standard deviation on 10 measurements. Minimal and maximal recovery curve (RC) are taken from EANM guidelines [14].

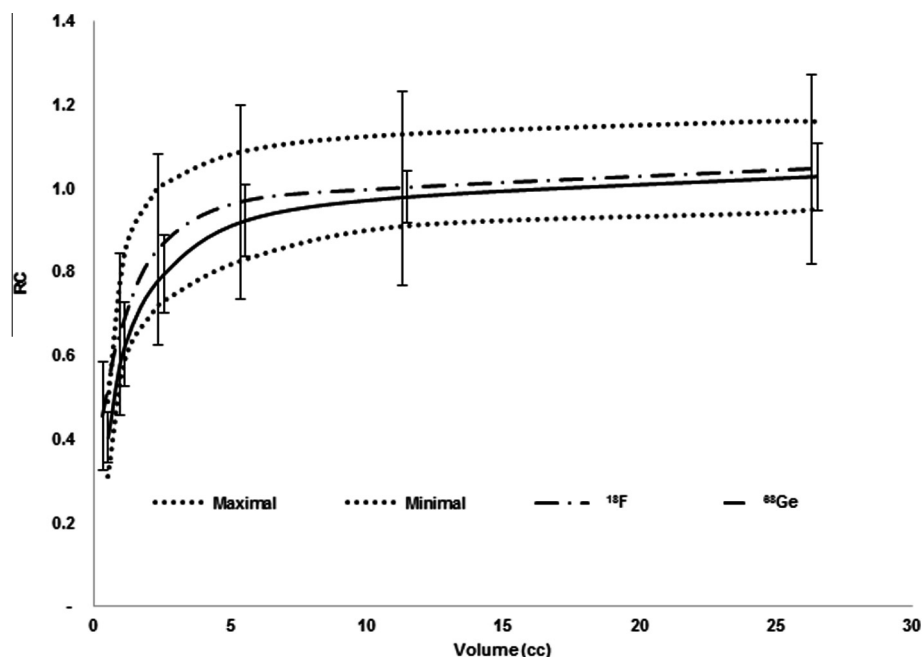


Figure 3. RC curves on all PET scanners that underwent CTQ with ^{18}F and ^{68}Ge IQ phantom. Error bars are one standard deviation on all PET/CT scanners. Minimal and maximal RC are taken from EANM guidelines [14].

variability of 3% has been already demonstrated comparing two PET/CT scanners [46] with a NIST traceable source and an uncertainty as low as 1.1% was also reported [47,48] using a new calibration methodology.

Besides the high variability, several PET sites (17% of scanners) declared themselves unable to accomplish the CTQ, and up to 40% of the scanners required repeated iterations with the core lab while only 28% of them were qualified in a single round. The more critical aspects were the time needed for the CTQ procedure and the absence of dedicated and trained personnel to perform the tests. Some sites lacked the dedicated uniformity or IQ phantoms, some

could not cover the cost of the procedure for ^{18}F phantom preparation, and some lacked time to dedicate to quality control of PET/CT scanners. These problems were resolved with the ^{68}Ge phantom. It was shipped to the sites as a sealed source. Unpacking and positioning the phantoms required about 5 min as compared to the nearly 2 h needed for ^{18}F phantom preparation. Moreover, the radiation exposure for the personnel was definitely lower and the risk of contamination during phantom manipulation was eliminated. The acquisition was performed exactly in the same way and with the same time needed for a patient. At the end of CTQ the ^{68}Ge phantom was packed and shipped to the next PET/CT site. The

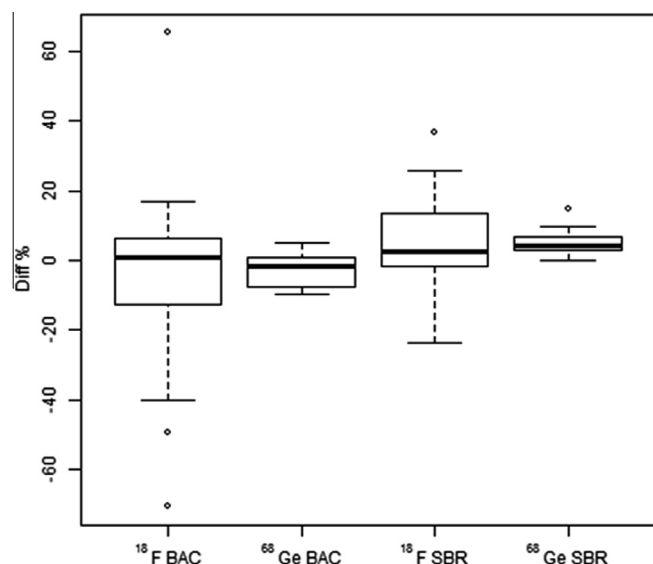


Figure 4. box and whiskers plot of the percentage difference between expected and measured BAC, in ^{18}F (a) and ^{68}Ge (b), and SBR, in ^{18}F (c) and ^{68}Ge (d), measured in IQ phantom for all PET/CT scanners.

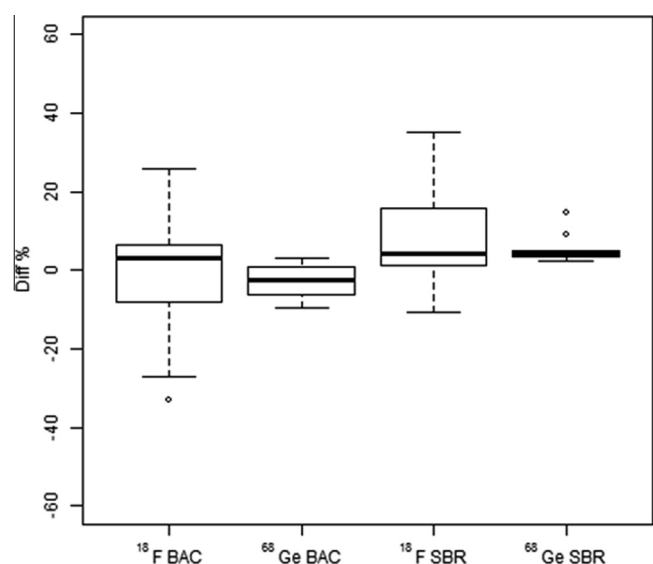


Figure 5. box and whiskers plot of the percentage difference between expected and measured BAC, in ^{18}F (a) and ^{68}Ge (b), and SBR, in ^{18}F (c) and ^{68}Ge (d), measured in IQ phantom for the PET/CT scanners that acquired images of both phantoms.

image analysis was performed remotely in the core lab with known data and no additional information was required from the PET site. Finally CTQ was fulfilled at first round in all cases. Notably, 6 PET/CT sites that were unable to comply with the ^{18}F phantom CTQ were qualified with the ^{68}Ge phantom.

Using the ^{68}Ge phantom we observed a variability in BAC (ISV = 21.2%) 1/4 lower than in ^{18}F phantom (ISV = 81.9%). The variability in SBR (ISV = 16.5%) was 1/3 of what was found with ^{18}F phantoms (ISV = 47.2%). The drastic drop in variability was only due to phantom preparation. Indeed, the phantom variability is high even if multiple ^{18}F phantoms are prepared in a single institution by the same experienced personnel with a variability of 24.6%. Initially, at the start of the CTQ program, a re-calibration of the PET/CT scanners was required when BAC variability was higher than 10%. The experience with the ^{68}Ge phantom prompted us to require a re-calibration in case of an observed BAC variability

higher than 3–5%. In this study we did not ship a radionuclide calibrator source along with ^{68}Ge phantom differently from Bouchet et al. [49], who, by combining a radionuclide activity calibration check and a ^{18}F -filled uniform phantom, demonstrated an inter-scanner variability of the 11 PET/CT scanners lower than 10%. Therefore, within our experimental framework it was not possible to separate the bias coming from an inaccurate radionuclide calibrator with respect to the bias due to the whole calibration process. Noteworthy, the overall bias of PET/CT scanner does not cancel out when considering radionuclide activity calibrator and PET/CT scanner separately. Indeed, Doot et al. [50] showed that bias in radionuclide calibrator measurements ranging from –50% to 9% and in BAC ranging from –27% to 13% lead a corresponding error in SUV measurements from –20 to 47%. A CTQ program is on-going for a clinical trial for follicular lymphoma of the Swiss Oncological Society (SAKK) in which a standard source will be shipped along with the ^{68}Ge phantom. The large reduction in inter-scanner variability was confirmed also when comparing only the 11 PET/CT scanners that performed the CTQ both with the traditional ^{18}F and with the ^{68}Ge IQ phantoms. The ISV decreased from 74.0% to 20.5% in BAC and from 63.3% to 17.4% in SBR.

The results of this study must be interpreted in the light of one limitation: most accreditation schemes require annual measurements to give an idea of intra-scanner variability over time. This was not done in the present study. Only short-time inter-scanner variability was evaluated with 10 repeated measurements on the same scanner in a day. Variability was below 3%. Measurements were also carried out 10 times over a month in the first PET/CT site and variability was still below 3%.

5. Conclusions

In conclusion, our work proved that a ^{68}Ge phantom is a realistic and valid alternative to ^{18}F phantom for image quality assessment in a multicentre clinical trial environment. All the metrics used for image quality assessment, such as BAC, SBR and RC, could be easily measured with the ^{68}Ge phantom with low noise scan. Moreover, using the ^{68}Ge phantom, a much lower radiation exposure is expected for the personnel. The ^{68}Ge phantom simplifies dramatically the procedure of inter-scanner calibration and reduces impressively the inter-scanner variability permitting to achieve a higher accuracy for future Q-PET-based clinical trials.

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Disclosure

SC is co-founder of the spin-off of University of Torino and Istituto Nazionale di Fisica Nucleare, Dixit Ltd. All remaining authors have declared no conflict of interest.

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